

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NO. NL 021032
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.5) 10/531928
INTERNATIONAL APPLICATION NO. PCT/IB2003/004433	INTERNATIONAL FILING DATE October 6, 2003	PRIORITY DATE CLAIMED October 22, 2002
TITLE OF INVENTION DISK CARTRIDGE AND STORAGE ASSEMBLY		
APPLICANT(S) FOR DO/EO/US Bart VAN ROMPAEY; Bob VAN SOMEREN		
Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. [] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. [X] copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [X] has been transmitted by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2))</p> <p>7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. </p> <p>8. [] A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern document(s) or information included:		
<p>11. [] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. [X] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. [X] A FIRST preliminary amendment. [] A SECOND OR SUBSEQUENT preliminary amendment.</p> <p>14. [] A substitute specification.</p> <p>15. [] A change of power of attorney and/or address letter.</p> <p>16. [X] Other items or information:</p>		
<input checked="" type="checkbox"/> Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/80] <input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) [PTO/SB/96] <input checked="" type="checkbox"/> Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account		

CERTIFICATE OF MAILING

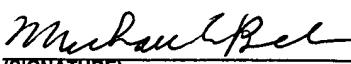
[X] Express Mail Mailing Label No. *E1664852342-US*
 Date of Deposit *4-19-05*

I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeanne Rusciano
Typed Name

Jeanne Rusciano
Signature

10/531928

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	INTERNATIONAL APPLICATION NO. PCT /IB2003/004433	ATTORNEY'S DOCKET NUMBER NL 021032		
17 [X] The following fees are submitted:		CALCULATIONS (PTO USE ONLY)		
BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):				
Search Report has been prepared by the EPO or JPO	\$1000.00			
International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482)	\$690.00			
No International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2))	\$750.00			
Neither International preliminary examination fee (37 C.F.R. 1.482) nor International search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO	\$970.00			
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$ 98.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 1000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	33 - 20 =	13	X \$ 50.00	\$ 650.00
Independent claims	5 - 3 =	2	X \$ 200.00	\$ 400.00
MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$ 360.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1050.00
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$
SUBTOTAL =				\$ 2050.00
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). +				\$
TOTAL NATIONAL FEE =				\$ 2050.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +				\$ 40.00
TOTAL FEES ENCLOSED =				\$ 2090.00
				Amount to be Refunded \$
				Charged \$
<p>a. [] A check in the amount \$ _____ to cover the above fees is enclosed.</p> <p>b. [X] Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$2090.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u>. A duplicate copy of this sheet is enclosed.</p>				
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Briarcliff Manor, NY 10510				
 (SIGNATURE) Michael E. Belk (NAME) 33,357 (REGISTRATION NUMBER)				

10/531 928
JC13 Rec'd PCT/PTO 19 APR 2005

PTO/SB/96 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Koninklijke Philips Electronics N.V.

Application No./Patent No.: Concurrently Filed/Issue Date: Concurrently

Entitled: DISK CARTRIDGE AND STORAGE ASSEMBLY

Koninklijke Philips Electronics N.V., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or

2. an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document)
must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be
recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

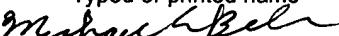
4.12.05

Michael E. Belk, Reg. 33,357

Date

Typed or printed name

(914) 333-9643



Telephone number

Signature

Corporate Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.